



**WASHINGTON STATE GAMBLING COMMISSION**  
LOCATION: 4565 7th Avenue SE, Lacey WA 98503  
MAILING ADDRESS: P.O. Box 42400, Olympia WA 98504-2400  
TELEPHONE: 360-486-3440 / FAX NUMBER: 360-486-3631  
TOLL-FREE: 1-800-345-2529 / WEB SITE: www.wsgc.wa.gov

**NOTIFICATION OF STOCK OWNERSHIP CHANGE FEE: \$ | | | | |**

See the attached Fee Schedule (GC5-055K FS)  
**NOTE:** All refunds of application / license fees will be issued to the applicant.

**IN ACCORDANCE WITH WAC 230-06-107, THE GAMBLING COMMISSION MUST BE NOTIFIED OF STOCK OWNERSHIP CHANGES WITHIN 30 DAYS OF THE TRANSACTION CLOSE.**

**In accordance with RCW 9.46.070(5), special investigative fees may be requested if costs exceed the basic fee provided with this application.**

Trade name under which corporation is licensed: | | | | |

Corporation Name: | | | | |

**Mailing Address:** | | | | |

City | | | | | State | | | Zip | | | | |

UBI#: | | | | | Primary Telephone: | | | | | - | | | | | - | | | | |

Cell Phone: | | | | | - | | | | | - | | | | | Fax: | | | | | - | | | | | - | | | | |

**Premises Address:** | | | | |

City | | | | | State | | | Zip | | | | |

Telephone: | | | | | - | | | | | - | | | | |

**1. List owners prior to stock change and include percentage:** (Attach additional sheets, if necessary.)

a. Last Name: | | | | |

First Name: | | | | |

Middle Name: | | | | |

Title: | | | | |

Number of Shares Owned: | | | | | ; | | | | | Percentage of Stock Ownership: | | | | | %

b. Last Name: | | | | |

First Name: | | | | |

Middle Name: | | | | |

Title: | | | | |

Number of Shares Owned: | | | | | ; | | | | | Percentage of Stock Ownership: | | | | | %

**Business Office Use Only:**

Code: 211- \_\_\_\_\_ Date: \_\_\_\_\_ Amt: \$ \_\_\_\_\_ Val #: \_\_\_\_\_

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2. List owners **subsequent** to stock sale or transfer and include percentages. Complete and submit the attached *Ownership / Organization Disclosure* (GC4-021) form, and submit a new copy of your stock register. (Attach additional sheets, if necessary.)

a. Last Name: \_\_\_\_\_  
First Name: \_\_\_\_\_  
Middle Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Mailing Address: \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_  
Number of Shares Owned: \_\_\_\_\_ Percentage of Stock Ownership: \_\_\_\_\_%  
Date Acquired: \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

b. Last Name: \_\_\_\_\_  
First Name: \_\_\_\_\_  
Middle Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Mailing Address: \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_  
Number of Shares Owned: \_\_\_\_\_ Percentage of Stock Ownership: \_\_\_\_\_%  
Date Acquired: \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

**Corporate President Signature is Required – See Page 3 of Application**

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3. If not previously submitted, all persons (and their spouses) who have a substantial interest in the corporation, as defined by WAC 230-03-045, must complete the attached *Personal / Criminal History Statement* (BLS-700-301). Also, submit a new listing of corporate officers, including their titles.

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4. Submit a copy of the meeting minutes authorizing this stock ownership change, and copies of all documents setting out this sale, or stock transfer. If stock was sold, the *Financial Statement* (GC4-320) and *Source of Funds Statement* (GC4-321) must be completed by the purchasers.

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**\* \* \* IMPORTANT \* \* \***

5. If you are a new substantial interest holder, as part of this application, you are required to provide positive proof of identity. To accomplish this requirement, submit along with this application, a copy of a valid driver's license, a state identification card, or a valid passport. Ensure photograph is identifiable. You may also be required to submit fingerprints; if so, fingerprint cards, with instructions, will be sent to you.

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**YOUR APPLICATION AND THE PUBLIC RECORDS ACT**

From the moment we receive your application, it becomes a public document subject to disclosure under the Public Records Act (RCW 42.56) and other Washington laws. The Commission may disclose to the public, other state or federal agencies, or discuss at a public meeting all information set forth in this application and all supplemental information submitted.

